| **Virginia Board of Education Agenda Item** | **Seal of the Commonwealth of Virginia** |
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# Agenda Item: E

## Date: November 19, 2020

**Title:** **Final Review of a Process to Certify a List of Qualified Persons for the Office of Division Superintendent of Schools**

### Presenter: Mrs. Patty S. Pitts, Assistant Superintendent for Teacher Education and Licensure

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## Purpose of Presentation:

Action required by state or federal law or regulation.

Executive Summary:

The *Constitution of Virginia* requires the Board of Education to certify to the school board of each division a list of qualified persons for the office of division superintendent of schools, one of whom shall be selected to fill the post by the division school board.

Prior to 1993, the Virginia Board of Education did not issue a Division Superintendent License. The names of individuals who met requirements for appointment as a division superintendent were placed on a “List of Eligible Superintendents.” Individuals submitted an Application for the “List of Eligible Superintendents.” The application, transcripts, and letters of recommendation were required. If individuals met the requirements, their names were presented to the Board of Education for certification and added to the list. Periodically, a “Status Report” was sent to individuals whose names were on the list to request information, such as updated addresses, additional college preparation and professional experience completed, and whether they wanted their names to remain on the list.

The process of the Board of Education certifying a list of qualified persons for the office of division superintendent during Board meetings was discontinued when the Board established the Division Superintendent License, effective July 1, 1993. On behalf of the Board of Education, the Department of Education issued Division Superintendent Licenses, and the “List of Eligible Superintendents” was comprised of the names of individuals who held an active Division Superintendent License. Licenses were issued for a five-year period, and individuals were required to meet Board of Education renewal requirements. On July 1, 2018, the licenses were changed to ten-year licenses.

Currently, individuals applying for the Division Superintendent License must submit a complete application packet. Virginia school boards or individuals may request that applications for the Division Superintendent License be expedited because a school board may wish to announce the appointment of a division superintendent. These requests are honored, and the issuance of licenses is given priority. When the Division Superintendent License is issued and the person’s name is automatically added to the “List of Eligible Superintendents,” the person has been considered eligible for appointment.

During the October 15, 2020, meeting, the Board of Education deferred action on this matter and requested the Department of Education staff and counsel meet with representatives from the Virginia School Boards Association (VSBA) to discuss the implementation of this requirement. After the meeting, the VSBA submitted the following suggestions for consideration:

1. Board of Education members who are employed by local school boards should not be given information about applicants from their own school divisions.
2. Names of applicants should be kept confidential until approved by the Board of Education.
3. The Board of Education should commit to an expedited process to approve applications within seven (7) days, when necessary for the school board to appoint its preferred candidate.
4. A change in the *Constitution of Virginia* that removes the process of requiring the Board of Education to certify a list of qualified individuals for local school boards to choose as applicants to hire as school superintendents.

## Action Requested:

Final review: Action requested at this meeting.

## Superintendent’s Recommendation: The Superintendent of Public Instruction recommends that the Board of Education approve the process to certify a list of qualified persons for the office of division superintendents of schools.

## Rationale for Action:

## The approval of a process to certify a list of qualified persons for the office of division superintendent of schools is to comply with the *Constitution of Virginia.*

## Previous Review or Action:

Previous review and action. Specify date and action taken below:

Date: September 16, 2020

Action: First Review

Date: October 15, 2020

Action: Final Review (action deferred)

Background Information and Statutory Authority:   
Prior to 1993, the Virginia Board of Education did not issue a Division Superintendent License. The names of individuals who met requirements for appointment as a division superintendent were placed on a “List of Eligible Superintendents.” The List of Eligible Superintendents was not automated or accessible to the public; however, the list was available upon request.

Individuals submitted an Application for the “List of Eligible Superintendents.” The application, transcripts, and letters of recommendation were required. If individuals met the requirements, their names were presented to the Board of Education for certification and added to the list. Periodically, a “Status Report” was sent to individuals whose names were on the list to request information, such as updated addresses, additional college preparation and professional experience completed, and whether they wanted their names to remain on the list.

The process of the Board of Education certifying a list of qualified persons for the office of division superintendent during Board meetings was discontinued when the Board established the Division Superintendent License, effective July 1, 1993. On behalf of the Board of Education, the Department of Education issued Division Superintendent Licenses, and the “List of Eligible Superintendents” was comprised of the names of individuals who held an active Division Superintendent License. Licenses were issued for a five-year period, and individuals were required to meet Board of Education renewal requirements. On July 1, 2018, the licenses were changed to ten-year licenses.

Before Virginia began issuing a Division Superintendent License, individuals had challenges verifying eligibility to serve in Virginia school divisions and obtaining licenses in other states. In addition, a process for renewal for division superintendents did not exist; individuals submitted the Status Report.

On July 1, 1993, a Division Superintendent License was established in the Board of Education’s *Licensure Regulations for School Personnel*. The requirements for the Division Superintendent License stated, in part, the following: “An individual may be a candidate for the Eligibility List of Division Superintendents and the renewable division superintendent license through the completion of the requirements in one of the following three options...”

| **Authority**:    ***Constitution of Virginia***  Article VIII. Education  Section 5. Powers and duties of the Board of Education  The powers and duties of the Board of Education shall be as follows:  (a) Subject to such criteria and conditions as the General Assembly may prescribe, the Board shall divide the Commonwealth into school divisions of such geographical area and school-age population as will promote the realization of the prescribed standards of quality, and shall periodically review the adequacy of existing school divisions for this purpose.  (b) It shall make annual reports to the Governor and the General Assembly concerning the condition and needs of public education in the Commonwealth, and shall in such report identify any school divisions which have failed to establish and maintain schools meeting the prescribed standards of quality.  **(c) It shall certify to the school board of each division a list of qualified persons for the office of division superintendent of schools, one of whom shall be selected to fill the post by the division school board. In the event a division school board fails to select a division superintendent within the time prescribed by law, the Board of Education shall appoint him. [emphasis added]**  (d) It shall have authority to approve textbooks and instructional aids and materials for use in courses in the public schools of the Commonwealth.  (e) Subject to the ultimate authority of the General Assembly, the Board shall have primary responsibility and authority for effectuating the educational policy set forth in this article, and it shall have such other powers and duties as may be prescribed by law. |
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Currently, individuals applying for the Division Superintendent License must submit a complete application packet. Virginia school boards or individuals may request that applications for the Division Superintendent License be expedited because a school board may wish to announce the appointment of a division superintendent. These requests are honored, and the issuance of licenses is given priority. When the Division Superintendent License is issued and the person’s name is automatically added to the “List of Eligible Superintendents,” the person has been considered eligible for appointment.

| ***Code of Virginia***  **§ 22.1-59. Qualifications of superintendent.**  The Board of Education shall prescribe by regulation the minimum qualifications for the position of division superintendent of schools and a copy of such regulations shall be furnished to all applicants.  **§ 22.1-60. Appointment and term of superintendent; certain contractual matters.**  A. The division superintendent of schools shall be appointed by the school board of the division from the entire list of eligibles certified by the State Board….  **§ 22.1-63. Certain officers ineligible for or to hold office of superintendent.**  A. No member of the governing body of a county, city or town and no member of a school board shall be eligible for the office of division superintendent of schools in the school division in which he serves.  B. No federal officer or employee, no state officer, except an officer appointed by the Governor, no state employee, no deputy of such officers, no officer or employee or the deputy of an officer of a city, county or town, no member of the governing body of a county, city or town, no member of a school board and no chairman of any political party shall be eligible to hold the office of division superintendent of schools.  ***Licensure Regulations for School Personnel* (effective August 23, 2018)**  **8VAC20-23-630. Division Superintendent License.**  An individual may be a candidate for the list of eligible division superintendents and the renewable Division Superintendent License through the completion of the requirements in one of the following four options:  1. Option I. The individual shall have:  a. Earned a doctorate degree in educational administration or educational leadership from a regionally accredited college or university; and  b. Completed five years of educational experience in a public or an accredited nonpublic school, two of which shall be successful, full-time teaching experience at the preK-12 level and two of which shall be in administration and supervision at the preK-12 level.  2. Option II. The individual shall have:  a. Earned a master's degree from a regionally accredited college or university and successfully completed 30 graduate semester hours beyond the conferral date of the master's degree; and  b. Completed requirements for administration and supervision preK-12 endorsement that includes the demonstration of competencies in the following areas:  (1) Knowledge, understanding, and application of planning, assessment, and instructional leadership that builds collective professional capacity, including:  (a) Principles of student motivation, growth, and development as a foundation for age-appropriate and grade-appropriate curriculum, instruction, and assessment;  (b) Collaborative leadership in gathering and analyzing data to identify needs to develop and implement a school improvement plan that results in increased student learning;  (c) Planning, implementation, and refinement of standards-based curriculum aligned with instruction and assessment;  (d) Collaborative planning and implementation of a variety of assessment techniques, including examination of student work that yields individual, class, grade level, and school level data as a foundation for identifying existing competencies and targeting areas in need of further attention;  (e) Incorporation of differentiated and effective instruction that responds to individual learner needs, including appropriate response to cultural, ethnic, and linguistic diversity;  (f) Knowledge, understanding, and application of the federal and state regulatory requirements and expectations associated with identification, education, and evaluation of students with disabilities;  (g) Collaboratively working with parents and school personnel to ensure that students with disabilities are included as a valued part of the school community, and that they receive effective and appropriately intensive instruction to assist them in meeting the standards set for all students, as well as individual goals outlined in their individualized education programs;  (h) Integration of technology in curriculum and instruction to enhance learner understanding;  (i) Identification, analysis, and resolution of problems using effective problem-solving techniques; and  (j) Development, articulation, implementation, and stewardship of a vision of excellence linked to mission and core beliefs that promote continuous improvement consistent with the goals of the school division.  (2) Knowledge, understanding, and application of leadership and organizations, including:  (a) The change process of systems, organizations, and individuals, using appropriate and effective adult learning models;  (b) Aligning organizational practice, division mission, and core beliefs for developing and implementing strategic plans;  (c) Information sources and processing, including data collection and data analysis strategies;  (d) Using data as a part of ongoing program evaluation to inform and lead change;  (e) Developing a change management strategy for improved student outcomes;  (f) Developing distributed leadership strategies to create personalized learning environments for diverse schools; and  (g) Effective two-way communication skills including consensus building, negotiation, and mediation skills.  (3) Knowledge, understanding, and application of management and leadership skills that achieve effective and efficient organizational operations and sustain an instructional program conducive to student academic progress, including:  (a) Alignment of curriculum and instruction and assessment of the educational program to achieve high academic success at the school and division or district level;  (b) Principles and issues of supervising and leading others to ensure a working and learning climate that is safe, secure, and respectful of a diverse school community;  (c) Management decisions that ensure successful teaching and learning including, human resources management and development, theories of motivation, change in school culture, innovation and creativity, conflict resolution, adult learning, and professional development models;  (d) Knowledge, understanding, and application of Virginia's Guidelines for Uniform Performance Standards and Evaluation Criteria for Teachers and Virginia's Guidelines for Uniform Performance Standards and Evaluation Criteria for Principals;  (e) Principles and issues related to fiscal operations of school management;  (f) Principles and issues related to school facilities and use of space and time for supporting high-quality school instruction and student learning;  (g) Legal issues impacting school operations and management;  (h) Technologies that support management functions; and  (i) Application of data-driven decision making to initiate and continue improvement in school and classroom practices and student achievement.  (4) Knowledge, understanding, and application of the conditions and dynamics impacting a diverse school community, including:  (a) Emerging issues and trends within school and community relations;  (b) Working collaboratively with staff, families, and community members to secure resources and to support the success of a diverse population;  (c) Developing appropriate public relations and public engagement strategies and processes for building and sustaining positive relationships with families, caregivers, and community partners; and  (d) Integration of technology to support communication efforts.  (5) Knowledge, understanding, and application of the purpose of education and the role of professionalism in advancing educational goals, including:  (a) Philosophy of education that reflects commitment to principles of honesty, fairness, caring, and equity in day-to-day professional behavior;  (b) Integration of high-quality, content-rich, job-embedded professional learning that respects the contribution of all faculty and staff members in building a diverse professional learning community;  (c) Reflective understanding of moral and legal consequences of decision making in the school setting;  (d) Intentional and purposeful effort to model professional, moral, and ethical standards, as well as personal integrity in all interactions; and  (e) Intentional and purposeful effort to model continuous professional learning and to work collegially and collaboratively with all members of the school community to support the school's goals and enhance its collective capacity.  (6) Knowledge, understanding, and application of leadership theories and influences that impact schools, including:  (a) Concepts of leadership including systems theory, change theory, learning organizations, and current leadership theory;  (b) Ability to identify and respond to internal and external forces and influences on a school;  (c) Ability to identify and apply the processes of educational policy development at the state, local, and school level; and  (d) Ability to identify and demonstrate ways to influence educational policy development at the state, local, and school level.  c. Completed five years of successful educational experience in a public or an accredited nonpublic school, two years of which must be full-time teaching experience at the preK-12 level and two years of which must be in administration and supervision.  3. Option III. The individual shall have:  a. Earned a master's degree from a regionally accredited college or university;  b. A current, valid out-of-state license with an endorsement as a division or district superintendent; and  c. Completed five years of successful educational experience in a public or an accredited nonpublic school, two of which must be full-time teaching experience at the preK-12 level and two of which must be in administration and supervision.  4. Option IV. The individual shall have:  a. Earned a master's degree or its equivalent from a regionally accredited college or university;  b. A minimum of three years of successful, full-time experience in a senior leadership position, such as chief executive officer or senior military officer; and  c. Be recommended by a Virginia school board interested in employing the individual as superintendent. |
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The proposed Board of Education procedure to certify the list of qualified persons for the office of division superintendent of schools is as follows:

* Initially, the Board of Education will certify a list of the names of individuals who currently hold an active Division Superintendent License.
* Upon receipt, new applications for the Division Superintendent License will be reviewed to verify that the Board of Education requirements have been met.
* The names of those individuals who have met Board of Education requirements for the Division Superintendent License will be presented to the Board of Education to certify.
* Upon Board certification, the individuals will be issued a Division Superintendent License.

The list will include the following statement from the *Code of Virginia*:

***§ 22.1-63. Certain officers ineligible for or to hold office of superintendent.***

*A. No member of the governing body of a county, city or town and no member of a school board shall be eligible for the office of division superintendent of schools in the school division in which he serves.*

*B. No federal officer or employee, no state officer, except an officer appointed by the Governor, no state employee, no deputy of such officers, no officer or employee or the deputy of an officer of a city, county or town, no member of the governing body of a county, city or town, no member of a school board and no chairman of any political party shall be eligible to hold the office of division superintendent of schools.*

The timeline for the certification and issuance of Division Superintendent Licenses will depend on the receipt of a complete application packet and the Board of Education meeting dates.

During the October 15, 2020, meeting, the Board of Education deferred action on this matter and requested the Department of Education staff and counsel meet with representatives from the Virginia School Boards Association (VSBA) to discuss the implementation of this requirement. After the meeting, the VSBA submitted the following suggestions for consideration:

1. Board of Education members who are employed by local school boards should not be given information about applicants from their own school divisions.
2. Names of applicants should be kept confidential until approved by the Board of Education.
3. The Board of Education should commit to an expedited process to approve applications within seven (7) days, when necessary for the school board to appoint its preferred candidate.
4. A change in the *Constitution of Virginia* that removes the process of requiring the Board of Education to certify a list of qualified individuals for local school boards to choose as applicants to hire as school superintendents.

Timetable for Further Review/Action:

Upon Board of Education approval, a Superintendent’s Memorandum will be issued. A list of the names of individuals issued a Division Superintendent License will be presented to the Board of Education in January 2021, and as necessary, the names of individuals who meet Board of Education requirements for the Division Superintendent License will be presented for certification at subsequent Board meetings.

**Impact on Fiscal and Human Resources:**

The administrative impact required in this process will be absorbed within existing resources at the Department of Education.